

Central Securities Depository Company of Botswana Limited

Securities Account Opening/Update Form (CSDB 2) - Institutions

To be completed in BLOCK LETTERS

Applicant Particulars

| | | | | | |
|---|----------------------|--------------------------------------|----------------------|----------------|----------------------|
| Name of Company / Organisation / Institution: | <input type="text"/> | | | | |
| Addresses (Physical and Postal): | <input type="text"/> | | | | |
| Village/Town/City: | <input type="text"/> | Country: | <input type="text"/> | Nationality: | <input type="text"/> |
| Telephone: | <input type="text"/> | Fax: | <input type="text"/> | e-mail: | <input type="text"/> |
| Date of Incorporation/Registration: | <input type="text"/> | Place of Incorporation/Registration: | <input type="text"/> | | |
| Registration /Certificate No: | <input type="text"/> | TAX Code: | <input type="text"/> | Broker's Code: | <input type="text"/> |

Dividend Disposal Instruction

Cash or Bank Bank Name: Account number:

DECLARATION

- i. We hereby request you to open and maintain a Securities Account in the CSDB in our name(s).
- ii. We hereby represent and warrant that we have good title to such securities that may be held in our Securities Account from time to time.
- iii. We affirm that the funds to be used for the purchase of Securities through my / our Securities Account will not be funds derived from any money laundering activity or funds generated from terrorist or any other illegal activity.
- iv. We hereby confirm that the undersigned Participant has full authority to intermediate and or conduct business on with the Depository on our behalf in keeping with CSDB rules and procedures that may be in force from time to time.
- v. We agree to be bound by the CSDB rules.
- vi. We undertake to notify the under mentioned Participant of any change of particulars or information provided by me/us in this form.

for and on behalf of the APPLICANT

Date.....

.....
AUTHORISED SIGNATORY / SIGNATORIES

CERTIFICATE OF RESOLUTION

Ihereby certify that the following resolution of the Board of Directors of

..... was passed at a duly convened meeting of the Board held on..... at which the quorum was present.

"It WAS RESOLVED that a Securities Account for the Company / Organisation / Institution be opened with the Central Securities Depository Botswana Limited., ("CSDB") and be operated in accordance with the Terms and Conditions of the Depository in force from time to time"
certified true extract of minutes

.....
DIRECTOR / SECRETARY

For Participant use only

Declaration:

We, the undersigned undertake that we have checked the accuracy of the documents submitted with this application.

Checked and Verified by (Name in full):

Securities Account Number:

For CSDB use only

Approved Declined

Signature:.....

CSDB Date stamp